

## Know Your Customer (KYC) Risk Categorization Form for all Accounts

(to be filled for all Accounts opened and attached to Account Profile Form)

(This Form to be completed by an Authorised Officer of the Branch before end of the day)

	LOW -1	1 Point	MEDIUM -2	2 points	HIGH - 3	3 points
<b>Category 1 Client Type</b>	Student/Housewife/Pensioner		Employee-Executive-Government			
	Employee Non executive – Government		Lawyer & Accountant		PEPs	
	Employee – Non executive - Private		Government Institution		NGOs	
	Public Limited Liability Company		Private Limited Liability Company		Off Shore/Non Resident Company	
	Business - Individual		Business-Proprietor/Partnership		Foreign Citizen	
	Club/Society/Association					
	Educational Institution					
	Self Employed - Professional					
	Self Employed - Business					
	Other Individuals					
<b>Category 2 Business/Trade/usage</b>	Professional/Family Use Dealer in Petroleum Products		Travel Agent		Dealer/trader in gem and jewellery	
	Professional Services		Importer & distributor of commercial goods		Finance/Insurance companies	
	Dealer in brand new vehicles		Entrepot trade		Money changers/remitters	
	Retail trader/Business		Exporter of local products		Buying and selling of real estate	
	Service provider		Telephone/Communication Providers		Share & stock brokers	
	Printers & Publishers		Commission Agent		Investing/administering/managing public funds	
	Marketing & Advertising		Wholesale trader		Restaurant/bar/casino/gambling house/night club	
	Small/Medium work shop/repair shop		Shipping airline and freight forwarding		Importer/Dealer in 2 <sup>nd</sup> hand motor vehicles	
	Nursing Homes/Health Care Centres		Construction- Buildings/Roads			
	Manufacturing industry					
	Transport Operations					
	Social/Religious Activities					
Category 3- Turnover per month	Less than 1,000,000/=		From 1,000,000 to 3,000,000/=		Above 3,000,000	

<b>Overall Rating: 1-3 Low</b>	
<b>4-6 Medium</b>	
<b>7-9 High</b>	

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**Signature of Authorized Officer**

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**Date**